



MY LIFE WITH DEAN LeBARON

For his 80th birthday, July 31st 2013

By
Alfred S. Farha

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MY LIFE WITH DEAN LeBARON IN GERMANY

Germany is where Dean and I first met in January 1957. We were both serving our two year term in the United States Army having been inducted a year earlier in 1956, Dean in Boston and myself in Kansas City. I entered the Army in March 1956 as a draftee and did my basic training in Fort Chafee, Arkansas and Fort Carson, Colorado. Afterwards I came to Germany in September 1956 on a troop ship landing first in Bremerhaven and moving by a troop train to Nürnberg where I was to be stationed nearby in Fürth with the infantry.

I was not a good infantryman, having scored poorly in target practice, and I was longing to do something more to my liking when I found out about a position in Public Relations in Munich through a friend of mine Lou Stern from Philadelphia serving in the same company as me in Fürth. He had a friend, Jon Blum also from Philadelphia, whose friend Milton Hamburg was leaving his position in PR with the 7th U.S. Army Non-Commissioned Officers Academy in Munich. So I went there in December 1956 and was interviewed by both Milton Hamburg and Dean LeBaron who was taking over from Hamburg but needed an assistant. Dean chose me and in the following month of January 1957 I moved to Munich.

Dean and I were given a room together in the NCO Academy and we immediately became friends. Although he was from Boston with a long line of ancestors going back to the Mayflower Pilgrims on his mother's side and on his father's side a descendant of Louis XIV and my family being first generation immigrants from Lebanon, our commonality was in our education and strong family ties. Dean and I were the only college graduates among enlisted men in the Academy.

In addition, Dean and I were "serving our time" in the Army and wanted to make the best of it by enjoying the tour of duty wherever possible. This was greatly helped by the fact that we both had sports cars thanks to the generosity of our families back home; Dean had a black Austin Healy and I had a new red MG which my paternal grandmother sent me the money to buy and have delivered from England. With these cars, Dean and I entered into sports car rallies and had the liberty and means to pick and choose whichever young damsels in Germany and elsewhere in Europe would like to come along for the ride.



Touring in Alfred's red MG, Dean ...
Filename "038_2.jpg"



... and Alfred on Brenner Pass, Austria, 1957
Filename "039_3.jpg"

We could hardly have had it better in the Army. Our shared private quarters were even cleaned daily by a maid who preferred to have payment of a total of one carton, ten packs, of American cigarettes a week. This cost us one US Dollar in the military PX and in return she could get as much as \$20 for the same carton from the market where American cigarettes were so valued.

The Commanding General of the 7th U.S. Army, General Bruce C. Clark wanted American soldiers to do something, to improve the American image in Germany, which was damaged by soldiers who misbehaved in all sorts of manner.

So, the Commander of the NCO Academy in Munich, Colonel Richard Darnell established a German/American Relations Program, which Dean and I would head up. We did this gladly since I had already learned some German and would write articles about America in the local newspapers and correspondingly write articles about Germany in the Army Times and the Stars and Stripes newspapers.

Dean headed up a German/American radio quiz program where American soldiers were asked questions about German history and customs and German students were asked about American history and customs. In addition, Dean had started teaching American literature to German students studying English at the Munich College of Languages. Up to that time, they had only learned about English literature from England since the teachers paid no attention to literature from America. Well, Dean changed all of that and began teaching about American greats in literature (Longfellow, Mark Twain, Faulkner, Fitzgerald, Faulkner, Hemmingway, etc.)

Dean asked me to help in teaching the class and I was encouraged by him telling me that there were a lot of good-looking girls as students. So, I set about in my red MG to my first class and Dean introduced me to this lovely student named Klara Perner. After class I asked her if I could take her home in my MG and she said: "My older sister, Haidi is also in the class and you would have to take her as well." I said that the car was essentially a two seater but if Haidi could sit on her lap, why not. So, we did that and this was our first meeting.

Klara was living with her Aunt Loni and her husband Leo Santl in an apartment in Munich and Haidi was staying with her girl friend Judith Goldhammer. So, I came to meet Loni and Leo soon afterwards and when they first invited me to their apartment where Klara was staying, I brought Dean along. He immediately apologized for coming as the uninvited guest, but they welcomed him nevertheless. After that first meeting, I became a regular guest and Dean came often as well. There are a number of photos showing Dean and me together at the Santl home with Klara, Haidi and Klara's girl friend Inge Laemmer who Dean was also dating.



Dean with Klara's Aunt Loni and Uncle Leo Santl in Munich
Filename "image0-6.jpg"



Alfred and Klara with her sister Haidi and Aunt Loni
Filename "image0-9.jpg"



Klara and Alfred
Filename "image0-5.jpg"



Dean with Klara's girlfriend, Inge Laemmer
Filename "image0-8.jpg"



Klara, Dean, and Inge
Filename "image0-7.jpg"



Caption: The lovely Klara
Filename "image01.jpg"

However, for some time, Klara and I had a Platonic relationship always with relatives and never alone. Then, I was finally allowed to take her out in my MG and Dean also came with another girl friend where we picnicked in the Bavarian mountains as one of the pictures will show. We also attended a ball together sponsored by the NCO Academy as seen in one of the pictures.



Caption: Touring with Klara
Filename "image0-1.jpg"



With Dean's date and Klara, picnicking in the Bavarian mountains ...
Filename "image0-3.jpg"



... and attending a ball sponsored by the NCO Academy
Filename "image0-2.jpg"

Dean and I spent a lot of time seeing Europe in our sports cars. Once we drove in my MG to East Germany where we had to drive through many road hazards on the autobahn in East Germany on the way to Berlin. There were nails all over the road and we would have to stop several times to repair flat tires. When we finally got to the famous "Checkpoint Charlie" into East Berlin, we had to wait about two hours for our visas.



Alfred and Dean finally arrive in East Berlin, 1957
Filename "image0-6.jpg"

The time we spent in East Berlin was very interesting especially since we met two East German girls who naturally admired our car and also invited us to their apartment where we spent most of the night talking politics rather than doing what comes naturally in such a situation. They insisted that the Prime Minister of West Germany, Konrad Adenauer was another Hitler and that Communism in East Germany was a true democracy. After returning to our hotel in West Berlin at about 5:am we were very much worn out but not from what would expect after spending many hours with two young ladies!

Dean and I also drove to Italy and when we got to Rome we met my other Army friend Lou Stern and together the three of us had a Papal audience (with about 100 other people) at the Pope's private residence in Castel Gondolfo. When Pope Pius XII appeared on the balcony to give us his blessing, I held up a rosary to give to my grandmother and Dean and I were surprised to see my friend Lou Stern among the first in the audience to fall upon his knees. Lou said, "even as a Jew, I could not help from being impressed."

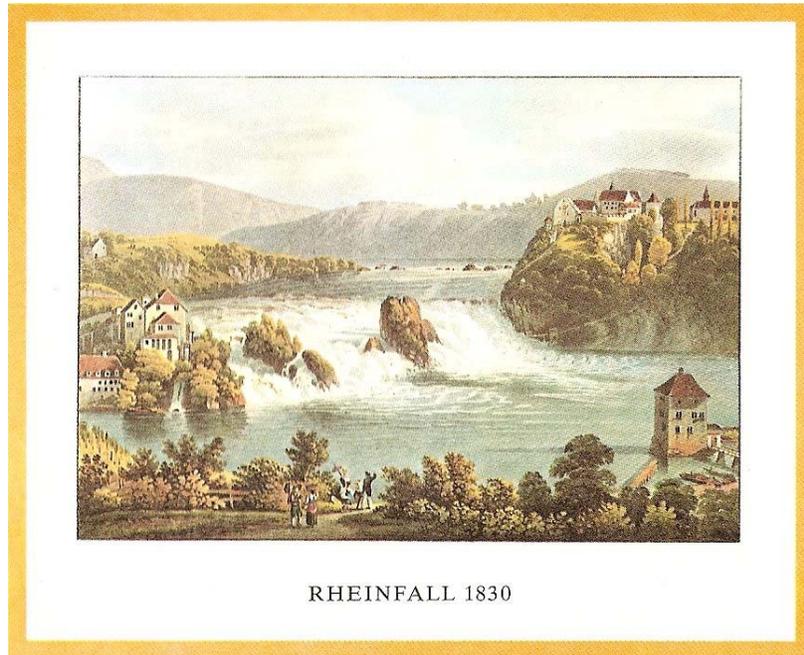
Back in Munich, my relationship with Klara developed further and when Christmas 1957 came about, I wanted to visit her and her family in Passau, Germany where her father continued the bell founding industry which was founded in 1600 in Bohemia by his ancestors. The Perner bell foundry in Budweis, Czechoslovakia where Klara was born, was expropriated by the Czechs in 1945 when the war ended and the Russians took over Czechoslovakia which included all of Bohemia. After they lost everything, including the bell foundry, the family villa, and huge properties, the Perners and Klara's maternal family, the Feichtingers and Schopenhauers, all fled to Germany. The foundry was rebuilt in Passau and that is where Klara's family was living.



Alfred and Klara
Filename "image0.jpg"

Well, the problem with spending Christmas in Passau was that I was not able to get permission to leave the Academy. But I left nevertheless and was AWOL (Absent without Leave) in Passau on Christmas Eve when I got a call from Dean. He said that he would cover for me as long as possible. He did that and I not only spent Christmas in Passau with Klara and her family, but afterwards went to Schaffhausen, Switzerland where Klara's maternal uncle Dr. Heinrich Feichtinger lived. The whole family came there to spend New Year's Eve and I came alone in my MG because Klara's maternal grandmother, Euphemie Schopenhauer (her second husband, Alfred Schopenhauer was a grand nephew of the famous philosopher, Arthur Schopenhauer) did not think that her granddaughter should ride so far alone with this American soldier.

The New Year's Eve was a great party with all the family but Klara and I sneaked away just before midnight and drove to the nearby Rhine River Falls where I asked her to marry me. She said politely no, that I should wait until returning back home to discuss this with my parents and it would be better if I finished my studies to become a lawyer.



RHEINFALL 1830

Site of Alfred's first proposal to Klara ... New Year's Eve, December 31, 1957
Filename "image0-4.jpg"

I returned to the United States in February 1958 after Dean and I had lived together for a year. I began law school studies but only part time since I was determined to go into business for myself and opened a gift shop in downtown Kansas City specializing in imported gifts from Europe. The business did not succeed and my law studies also suffered. Luckily I was able to sell the shop and with that money paid off most of my debts and told my parents I wanted to return to Germany in August 1959 to marry Klara. They did not like the idea, but when my paternal grandmother found out that she was from a good family and a good Catholic, she approved and financed my trip and also bought for Klara a beautiful one carat diamond ring.

Following that time in America from February 1958 until August 1959 when I went back to Germany and married Klara, I finished law school in 1961 with a Fellowship to help pay the tuition and Klara worked as a librarian. Before marrying Klara, Dean and I remained in close contact and he even paid a quick visit to Kansas City and met my parents and grandmother after which he wrote a letter for Klara's parents in Germany to assure them that I came from a good family and Klara would feel right at home in Kansas City. I came across that letter from Dean recently and it reminded me of the depth and loyalty of our friendship. Meanwhile, Dean also married Emily and they eventually settled down in Boston.



In 1961, Alfred, Emily, and Dean near Boston ...
Filename "Dean LeBaron photos 1.jpg"



... and a few years later – 1968 – in Munich
Filename "Dean's photos 4.jpg"

During the more than 56 years since Dean and I first met in Germany, we have come together many times in that country. He has attended family events in Munich and a bell consecration from the Perner foundry for the Cathedral in Munich and even went to the foundry in Passau to see his family bell cast by Rudi Perner, Klara's nephew who took over the 400 year family business. This is seen by the photo at the foundry as well as the photo of the family bell itself that hangs outside Dean's home in Lake Sunapee and rings out there as well.



At the Perner foundry in Passau, 1996
Filename "Dean's photos 1996-2.jpg"



At the Perner foundry in Passau
Filename "Dean's photos 1996-3.jpg"



The LeBaron family bell "in situ" at Waypointe
Filename "Dean's photos 1996-4.jpg"



Alfred and Dean in Munich, April 2003
Filename "Dean and Alfred.jpg"



Munich, April 2003
Filename "Dean and AlfredIce Cream 2.jpg"



Munich, April 2003
Filename "Dean with Beer.jpg"

Germany is where it all began for Dean and me and it still is a place where we visit Klara's relatives together from time to time and if for no other reason to share our many memories in that country.

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June 2, 2013

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MY LIFE WITH DEAN LeBARON IN AMERICA

After I was honorably discharged from the U.S. Army in February 1958, I was home again living with my parents in Kansas City, Missouri which is where I was born and raised. Dean was discharged later that year and returned to Boston and was admitted to Harvard Business School where he graduated with an MBA in 1960. We both married and went on to our respective careers, mine in the practice of law in Kansas City with a firm of 20 lawyers mostly engaged in trial work and Dean joined a brokerage firm in Boston called F.S. Moseley & Co.

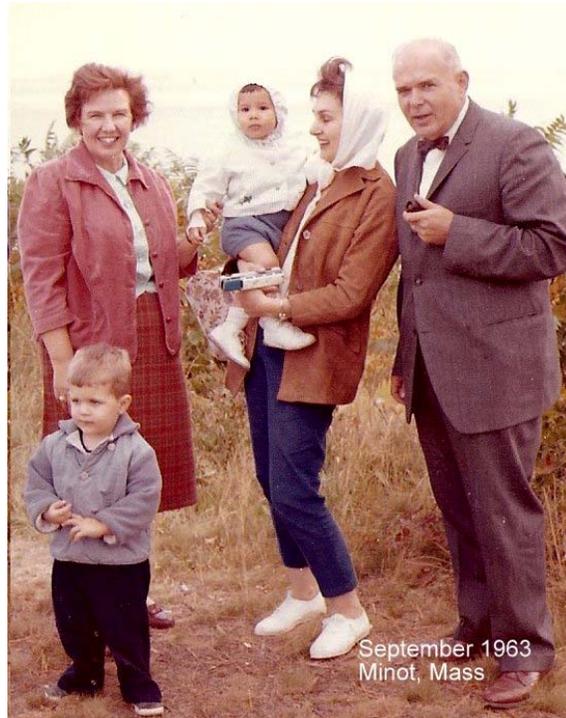
We continued our close friendship developed in Germany from the time both of us returned to America until the present. During those early years of our marriage, Dean to Emily and me to Klara, we saw one another whenever possible even though separated by the distance from Boston to Kansas City. Klara gave birth to our first child, Alfred Jr in 1962 less than a year after Blake was the first born for Emily and Dean.



Emily, Stacy, Dean, and Blake, ~1969
Filename "Dean's photos 4-001.jpg"

One of the early times we had together in America was when Klara and I went to Boston with Alfred Jr who was a little over a year old. We wanted to stay by the sea and took a hotel in

Minot, Massachusetts where Dean, Emily and Blake came to visit us as did Dean's father Francis (Fran) and his mother Irene. There is a nice picture which can be seen of Klara holding Alfred, and Blake standing by his grandparents on the beach in Minot.



Blake, Irene, Alfred Jr., Klara, and Fran, September 1963
Filename "000_Untitled.jpg"



Many years later, Blake (l) celebrates Christmas 2010 in Thalwil
with Alfred, Dean, and Alfred Jr. (r) ...
Filename "L1000065.JPG"



... and with Arthur (c) and Alfred Jr. (r)
Filename "L1000063.JPG"

We had the opportunity during that visit in 1963 to really get to know Dean's parents. His father was a doctor specializing in osteopathy and was the company physician for a firm in Foxboro, Mass. He and I got along very well since his personality was very much like that of Dean; warm and outgoing. He adored his grandson Blake and asked me a Catholic if he could baptize the young boy if his parents were not prepared to do so. I said of course he could, but it might be best if his parents knew about it. Dean's younger sister Elaine confided in me many years later that Fran did indeed do the baptism but she was not sure if the parents knew.



Dean's parents, Irene and Dr. Francis ("Fran") LeBaron
Filename "formal dinner.jpg"

I also remember visiting Irene and Fran in their home in Foxboro and it was there that I was introduced to New England Clam Chowder and a sandwich with cut up lobster and mayonnaise called a "Lobster Roll." They were a loving family and the children were more important than anything else, which of course is the way it should be. Fran passed away in 1975 of heart failure and Elaine once told me that he had an enlarged heart "the size of a

football” which eventually took his life in his mid sixties. The LeBaron family had to move around quite a bit during the Second World War since Fran was a medical doctor in the Army. Eventually, he served in England under General Eisenhower although the family remained in the Boston area.



Irene and Fran LeBaron
Filename "Fomal couple.jpg"



Dean, Irene, and Elaine in the early 1940s
Filename "Mother-D&E.jpg"



In about 1947, a teenage Dean with “Carla”
Filename “Dean-Carla.jpg”



... and at a school dance in the early 1950s
Filename “Dean-Prom.jpg”



Dean in the early 1950s
Filename "Dean-'54.jpg"

Irene lived much longer and she was a lovely lady even as she became over 90. She also had a wonderful love of life and enjoyed it as much as possible even though she lived without Fran for many more years. She and Dean as well as Elaine and her husband Paul visited us in Switzerland as well as our visits to them in the Boston area. We also visited Dean and Emily and the children many times as well and our children, particularly Blake and Alfred became friends at a very early age.



Dean, Alfred, Irene, and Klara in Thalwil, 1992
Filename "Dean's photos 1992-3.jpg"



Caption: Dinner with Alfred and Klara in Thalwil.
Filename "Dean's photos 1992-2.jpg"

As much as Dean was loved by his parents, his sister Elaine's love for him is the same. I always told Dean "Elaine thinks that you walk on water." This was seen when Elaine and Dean both did some research on the origins of the LeBaron family in America. Much was known by hearsay particularly the story of François LeBaron being an illegitimate son of Louis XIV, but when it was discovered as a fact through some old history books found in a library, it could be said that the LeBaron family descended from French royalty. Well, Elaine's comment on the whole matter was "As far as I am concerned Dean is and always has been royalty."



Elaine + Dean
Filename "Dean-Elaine-'40.jpg"

In 1999, Dean, his mother Irene, Elaine, Klara and our son Mark and I went to Plymouth, Mass. to visit the house where Dean was born. A photo taken by Mark shows us standing by

that house and another shows us at the old Plymouth cemetery where François LeBaron who died in the 17th Century was buried along with other LeBarons down the line. Dean's father and now his mother are buried in Plymouth's new cemetery.



In Plymouth, Massachusetts, September 1999
Filename "001_Untitled.jpg"



Elaine, Irene, and Dean at the old Plymouth cemetery, 1999
Filename "Dean's photos 1999-2.jpg"



Klara, Irene, Dean, Elaine, and Alfred ... Plymouth, 1999
Filename "Dean's photos 1999-3.jpg"

Back to Dean's career, he began working with other financial firms which led him to form Batterymarch Financial Management in Boston in 1969. After law school and admission to the Bar in both Kansas and Missouri while working as a trial lawyer in Kansas City, I joined The Dow Chemical Company in 1964 at the company headquarters located in Midland, Michigan as a corporate lawyer.



Dean and Alfred in Midland, Michigan, 1969
Filename "Dean LeBaron photos 3.jpg"

Dean visited us in Midland the first time in 1965 and there is a lovely photo of him reading to little Alfred who is gazing in admiration at Dean. This was typical Dean, always giving a child his utmost attention. Many years later in Switzerland, there is another photo showing Dean with Alfred's son Myles and daughter Lucy where Dean is showing Lucy how to play with her dollhouse.



Alfred Jr. and Dean, 1965
Filename "Dean LeBaron photos 2.jpg"



... and many years later with Lucy and Myles (Alfred Jr. looking on)
at home in Thalwil, 2001
Filename "Negative0-02(1).jpg"



... and at Alfred's office in Zurich, 2001
Filename "Negative0-03(1).jpg"



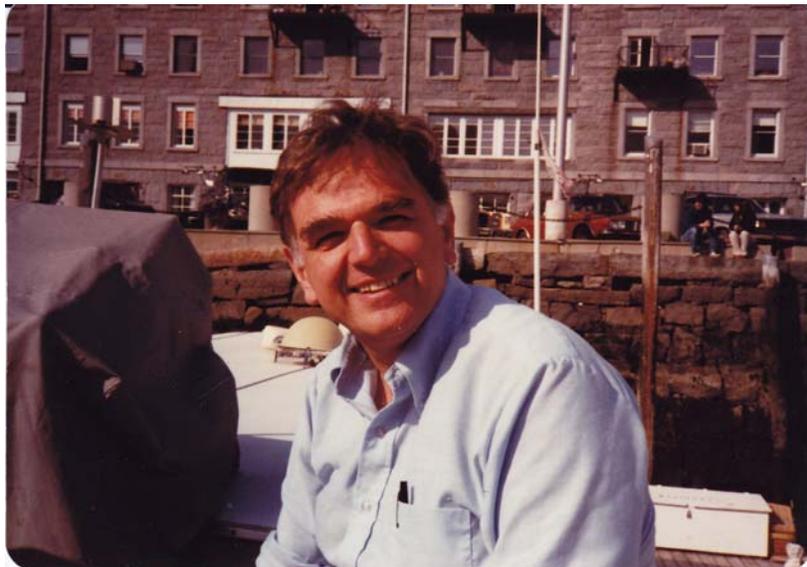
With Alfred Jr. and family on the terrace at Mariahalden in Weesen, October 2001
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I visited Dean many times at Batterymarch over the years although we never had any business activity together, except perhaps when I invited Dean and his partner Steve Swensrud in 1971 to come to the headquarters of Dow Chemical in Midland, Michigan to make a "sales call" and try to get some of Dow's pension fund to manage. I picked them up at the airport in Saginaw in a big black Cadillac which my father had just bought from the CEO of Dow at that time, Leland Doan.



Dean and Alfred in Vermont, 1970
Filename "Dean's photos 4-002.jpg"

We then met with the Dow management including the CFO, Paul Orefifice who later became the CEO of Dow. Paul was impressed with Dean and Steve and Batterymarch in particular but never gave them the order. Some years afterwards, however, Batterymarch held a large amount of Dow shares in the portfolio of some of its clients, particularly the Ford and Rockefeller Foundations.



Dean on his houseboat in Boston Harbor in the late 1970s
Filename "Young Dean.jpg"



Dean's houseboat, moored in Boston Harbor (Harbor Towers in the background)
Filename "Houseboat.jpg"



Dean aboard his houseboat
Filename "IMG_0001b.jpg"

After a few years of hard work, dedication and financial expertise, Batterymarch was a success. I can remember a sketched picture of Dean on the front page of the Wall Street Journal in the eighties proclaiming Dean as the most successful asset manager in America. Not long ago in Zurich an admirer of Dean who is well known around the world concerning investments particularly in gold, Marc Faber, told me that Dean was always "light years" ahead of the times.

I got to know many of Dean's associates and partners at Batterymarch, all of whom including Dean were referred to as "Trustees." But one stood out and that was his partner at the beginning of Batterymarch, Steve Swensrud. The father of Steve was of Norwegian origin and became the Chairman and CEO of Gulf Oil. Steve, however, outpaced his father's success and wealth, beginning with Batterymarch but going on afterwards in his own

business. He and Dean and I had many good times together as some photos may show and there is one occasion in particular where my friendship with Dean and Steve may well have saved my life.



At Dean's house in Brookline, Massachusetts, 1978
Filename "Dean's photos 4-003.jpg"



Alfred, Steve Swensrud, and Dean, 1978
Filename "Dean's photos 4-004.jpg"



Dean and Klara with Klara's niece Birgit Perner and Mark Farha in Brookline, Massachusetts
Filename "Dean's photos 1979.jpg"



Dean with his bronze likeness, a gift from Batterymarch colleagues
Filename "gold busts_b.jpg"



Dean at Batterymarch (in the Federal Reserve building), ~1992
Filename "Jul27~02-Dean in office.jpg"



Dean in St. Petersburg (then Leningrad) Russia with
BatteryMarch client Kenneth MacWilliams (Prudential)
Filename "Russia.jpg"



In Shekou, Shenzhen, Steven Fang (right,
who interned at BatteryMarch)
with (left) the Vice Mayor of Shenzhen
Filename "Dean-China 003b.jpg"



In Shekou, Shenzhen, China
Filename "Dean-China 005b.jpg"



With Yuan Geng, Chairman of China Merchants
Company and Steven Fang, Mr. Yuan's assistant
Filename "Dean-China 006b.jpg"



Textile company in Shanghai
Filename "Dean-China 008b.jpg"



Textile company in Shanghai
Filename "Dean-China 009b.jpg"



Showroom of the textile company
Filename "Dean-China 010b.jpg"



Television tube manufacturing co., Shanghai
Filename "Dean-China 011b.jpg"



"Splendid China" Theme Park, Shenzhen
Filename "Dean-China-002b.jpg"



Batterymarch client Gordon Binns (General Motors) and colleague Tania Zouikin with Tony Town, head of a brokerage firm in Shenzhen
Filename "Dean-China-004b.jpg"

I had lung problems most of my life which caused bleeding whenever I had a severe cold. It got decidedly worse as I grew older and in 2006 my asthma attacks were terrible but the physicians in Switzerland could not determine the cause. Steve visited us in Zurich at that time and he had just had his right lobe removed with lung cancer at Brigham and Women's Hospital by Dr. David Sugarbaker, the well known thoracic surgeon. Since the hospital was associated with Harvard Medical School where Steve sat on the Board, he was able to get me an appointment with Dr. Sugarbaker who found out I had hystoplasmosis a fungus found in people in the Ohio valley to Kansas where I was born and raised. He removed my right lobe by skillful surgery and the disease was gone.

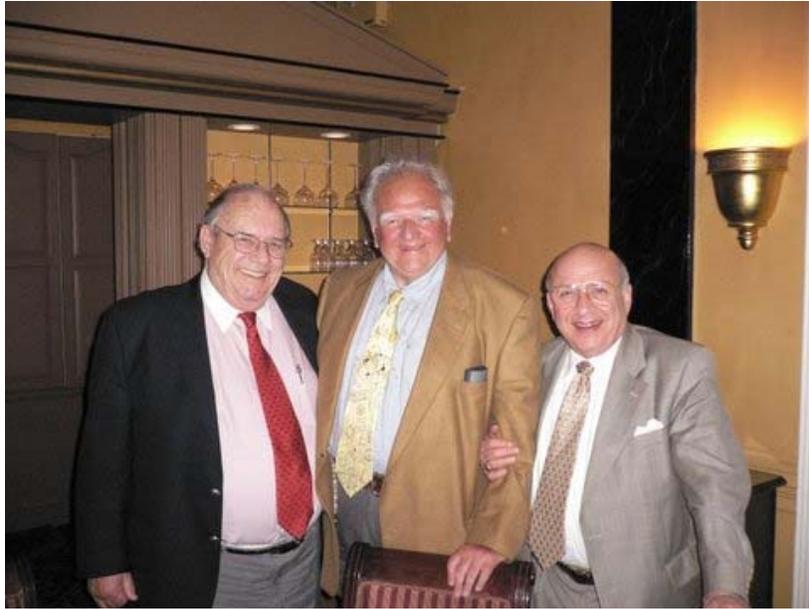
Steve was with me from the first time I met Dr. Sugarbaker until I recovered and Dean was there as well. We actually celebrated with a dinner at the Harvard Club in Boston before the surgery as photos will show and afterwards, Dean visited me regularly during my recovery. Steve's cancer unfortunately came back and he passed away in 2011 but up until the end, Dean would spend time with Steve and a few months before he died, Dean and Steve were in Dean's house in Lake Sunapee where they contacted me on Skype video and the three of us had a good chat talking about old times.



Dinner with Steve Swensrud (left) and Steve's wife (to Dean's left), family, and friends at the Harvard Club of Boston, the night before I entered the hospital for surgery
Filename "P1020269.JPG"



Philip Farha (left), Donna Carpenter, and Steve Swensrud at the Harvard Club
Filename "P1020270-001.JPG"



Three long-time friends at the Harvard Club
Filename "P1020271.JPG"



During Alfred's recovery in Boston following successful surgery, 2006
Filename "P1020328.JPG"



With Mark Farha at Waypoint, Dean's home on
Lake Sunapee in New Hampshire, 1992
Filename "Dean's photos 1992-7.jpg"



With Dean and Donna Carpenter at Waypoint, 1997
Filename "Dean's photos 1996-6.jpg"



Aboard the Boesch boat with Philip Farha on Lake Sunapee, 2003
Filename "Dean's photos 1993-6.jpg"



Boating on Lake Sunapee with Philip Farha and Philip's son Lucius
Filename "P1000013.JPG"



Having a bite across the lake from Waypointe
at the Anchorage Restaurant overlooking Sunapee Harbor ...
with Alfred Farha and Alfred's sons Mark and Philip and grandson Lucius
Filename "P1000009.JPG"



With Dean's granddaughter Rachel LeBaron Perry (Stacy's daughter), 2003
Filename "Dean's photos 1993-7.jpg"

I sometimes wonder what it was that kept the friendship between Dean and me so strong over more than half a century, whether in Germany, America or Switzerland. We did not always agree on many things and I was perhaps one person who did not hesitate to criticize Dean whenever I thought it was necessary and he was the same way with me. True friendship does not have to be submissive or even worse pandering. On the contrary, if the friendship is deep enough, you can disagree and be critical of one another's actions. In a way, that is a test of friendship as well.

Dean being a "techno freak" has even shrunk the globe by his constant Internet connection with friends all over the world. Even though we are separated by a continent, we are always

in contact with the computer. Sometimes this is with the video technique of “Skype” otherwise just simple emails. As our friendship began in Germany it continued and was even enhanced in America which says a lot for a deep and lasting friendship. May it continue for the rest of our lives.



Recent picture of Alfred at his office on Bahnhofstrasse, Zurich
Filename “IMG_0227.jpg”

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June 2, 2013

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MY LIFE WITH DEAN LeBARON IN SWITZERLAND

In May of 1973 I was transferred by The Dow Chemical Company from the Legal Department in Midland, Michigan to become part of the management of Dow Chemical Europe located in Zürich, Switzerland. Actually, this was step three of my career planning since I first was engaged in the private practice of law, then moved to the corporate practice in a major company and now it was in keeping with my plan to go into management of a company. Step four was to return to the private practice of law which I did when retiring from Dow in 1994.

As we packed our bags and sold our lovely provincial ranch styled home to the company which we built in Midland in 1966, Klara, Alfred (age 11) Arthur (age 7) and Philip (age 3) and I flew to Boston where we met Dean, Emily, Blake and Stacy to say goodbye as we were on our way to Zürich. Klara was 4 months pregnant with Mark at the time whose birth was due in October. The LeBarons bade us farewell and off we were to Switzerland.

My position with Dow Europe was Business Development Manager for Basic Hydrocarbons with the challenge to develop Dow's production of petrochemicals at the source of the hydrocarbon feedstock needed to produce ethylene, a basic building block used to make plastics and a whole host of chemicals and polymers. The President of Dow Europe was Zoltan Merszei a Hungarian who was close to Dow's top management and later became the CEO of the company.

So, I set about travelling all over the Middle East, Africa and Europe. My knowledge of the Arabic language was especially helpful in Saudi Arabia and other Arabic speaking nations. It was a job I was chosen for by Zoltan Merszei because of my association and familiarity with the Middle East as well as my business experience during my time as a corporate lawyer.

In any event, Dean closely monitored my progress in Dow after we moved to Switzerland and soon thereafter paid us visits as he started to direct Batterymarch more in the international area of investments. Towards the end of the 70's Dean saw a market in the developing countries of the world and later even the Soviet Union when the post Stalin era began more and more to think of the advantage of "turning swords into plowshares."

In the early 80's Dean visited us several times in Switzerland where we built a large house outside of Zürich along the lake in the village of Thalwil and I was promoted to be General Manager of Dow Middle East located in Geneva in 1982. The family stayed in Thalwil and I spent the week in Geneva and of course much time in the Middle East. This was the job I

was hoping to get in Dow. In 1988 the office was moved back to Horgen/Zürich.



With Batterymarch colleague David Gill (left) and Klara in Thalwil, 1988
Filename "Dean's photos 1988-1.jpg"



Alfred at the keyboard in Thalwil
Filename "Dean's photos 1988-2.jpg"

Dean asked me to see where I might be able to find a place for him to live in Switzerland and also as a location for business with his developing international clients. The difficulty was to find a place where foreigners were able to buy since such areas were very limited and did not include the major cities like Zürich.

I first found a place on the Lake of the Four Forests (Vierwaldstaettersee) about an hour from Zürich. It was a new condominium and on the ground floor was an old age home which frightened Dean away! Then through a friend I located the charming village of Weesen beautifully situated between the Alps on the Wallensee which is a very deep lake about 15 kilometers long by 2 kilometers wide.

There was a fairly new condominium in Weesen with units for sale available for foreigners. So, Dean took a look and found a lovely unit which he first rented and then bought in 1990. It had a large balcony/terrace which was about 8 by 5 meters and overlooked the lake and mountains with a spectacular view. It only had one bedroom but two bathrooms and a living dining area which was huge by any standards. It was also practically brand new and modern in design with the latest equipment in the bathrooms and kitchen.



Dean and Klara at Mariahalden in Weesen, 1992
Filename "Dean's photos 1992-8.jpg"

Eventually, Dean was able to buy the place and I handled all of the legal matters. Thereafter, Dean used his second home in Weesen for the visits of many dignitaries from all over the world where business was conducted in a most pleasant atmosphere. Dean had also acquired the use of two private jet planes for his travels all around the world for Batterymarch and being located in Switzerland so close to the Zürich airport (less than an hour's drive away) was ideal.



Fouad Al Bahar, Dean's neighbor and friend at Mariahalden, with
Astrid Baur, long-time friend who handles Dean's household matters, October 2012
Filename "Astrid_Fouad_Al_Bahar_2012_1026.jpg"



Dean's Gulfstream II ("N9 BF") refueling in China
Filename "Dean-China 007b.jpg"

Weesen was also on the expressway to Zürich and our village of Thalwil which was less than 50 kilometers distance and about a half hour drive. Hence it made his visits to us and ours to him most convenient. All of this meant that our friendship was enhanced by being close together again and our experiences in Switzerland have given us unforgettable memories.



Alfred, Klara, and Dean in Switzerland, 1993
Filename "Dean's photos 1993-2.jpg"



With Klara, Alfred, and Rayma Santl Gabloffsky visiting
Leo Santl in a Munich hospital in 1993
Filename "Dean's photos 1993-1.jpg"



With Klara's niece Ida Maria Perner (left) and
family friend Horst Rauck (second right) in Munich, 2003
Filename "Dean's photos 1993-3.jpg"

On some occasions, Dean would visit our home in Thalwil with business guests and some of his colleagues in Batterymarch as the pictures will show. There was one particular occasion which stands out and that was when Dean had some financial success with Batterymarch and had a place to live in Weesen. He then had a crazy idea to buy a castle in Grandson which was in the Canton of Neuchatel in the French speaking area of Switzerland. This old castle which dated back to the Middle Ages was for sale by a wealthy "Great Gatsby" sort of Swiss and he was asking some \$12 million for the place including the antique car collection of Greta Garbo.

Well Dean came to Switzerland with his long time friend and lawyer Paul Rugo to take a look at the property. I accompanied them to Grandson and to test out the place, Dean decided

that the three of us should spend the night within those haunted walls. Dean slept in one room and Paul and I in the other and it was very spooky indeed as well as being unheated and so cold that we slept in all of our clothes. Paul and I eventually talked Dean out of the folly of this sort of an investment and the place was later sold to the locality where the government paid considerably less than the price asked of Dean.

Paul Rugo was not only an accomplished lawyer with the leading Boston firm of Goodwin Proctor but he was also a dear friend of Dean who passed away with cancer in the prime of his life. Dean was at his bedside in Boston when he died and it was an experience he could never forget. Part of Batterymarch's success can also be attributed to the legal skills of Paul Rugo. I was with Paul and his children during his last visit to Zurich shortly before he died and we talked of many things concerning the meaning and true value of life.



Paul Rugo (c) with his lady friend, Ariadne, and Evgeny Vitkovsky (r),
deputy head of the Soviet Ministry of Defense Industry, 1991
Filename "Cocktails.jpg"

In 1992, soon after the fall of the Soviet Union where Dean had been visiting many times and was even present in Moscow in 1991 when Boris Yeltsin stood on the tank during the collapse of the Soviet Union, I asked Dean give an address (as seen by the photos) to the Swiss-American Chamber of Commerce and the American Club of Zürich where I was President. He did so and it was a real eye opener for a lot of Swiss and Americans to hear from someone who was so much involved with leaders of the Soviet Union. One such leader was Vladimir Putin whom Dean knew in St. Petersburg, who later went on to lead Russia.



Dean addresses the Swiss-American Chamber of Commerce in Zurich, March 17, 1992
Filename "Dean's photos 1992-4.jpg"



With Alfred and friend at the reception following the Chamber meeting
Filename "Dean's photos 1992-5.jpg"



Later in 1992, dinner at Waypointe in New Hampshire
Filename "Dean's photos 1992-6.jpg"

Dean established himself and Batterymarch in the Swiss banking and financial institutions and made a number of presentations on asset management in Zürich during the 80's and the early 90's. Batterymarch managed the Developing Nation's Funds for Credit Suisse and as a result he was close to the executive management of that bank including the CEO Rainer Gut and William Wirth who sat on the Board of Directors of the bank and was also the Chairman of Bank Hoffman which was taken over by Credit Suisse. Dean simply became a known figure in the banking circles of Switzerland and particularly Zürich.

The friendship Dean and William Wirth shared was beyond the original professional reasons which brought them together. William was a regular visitor to Dean's place in Weesen and the three of us shared some good times together as seen in one of the pictures. As William helped Dean to establish himself in the Swiss banking community, Dean helped William to get on the Board of Directors of Legg Mason a leading asset management firm located in Baltimore, Maryland who eventually bought Batterymarch from Dean in 1995.



Dean, Alfred, and William Wirth, 2000
Filename "Dean, Alfred and William 1.jpeg"

There were a number of legal issues which confronted Dean in Switzerland mainly of a personal nature concerning his attempt to add a “Winter Garden” on top of his apartment in Weesen which I handled for Dean without success. Also, he had made an investment in a new firm in Zürich involved in trading foreign exchange which turned out to be a loss due to some questionable actions by the principal shareholder and CEO of the company. I advised Dean to take the matter to court and suggested a Swiss professor and a trial lawyer to handle the case. Dean did not want to spend a lot of money on lawyers so we did not choose one of the top and expensive law firms. Again, Dean did not succeed and the fact that the person who lost Dean’s investment and managed the business to his own best interest was related to one of the more important bankers in Zürich also made it difficult for Dean to recover the money he lost.

There were successful legal issues for Dean, however, principally his being able to gain a residency and work permit for Switzerland within the Canton of St. Gallen where Weesen was located. Although Dean hired a young Swiss lawyer who had studied at the University of St. Gallen with our son Arthur and a Swiss accountant for the determination of the Swiss tax for which Dean would become liable as a resident, much of the work was done by myself. The main task was to settle the tax liability for Dean which had to be negotiated with the tax authorities in St. Gallen and this was my task where we succeeded in minimizing Dean’s Swiss taxes.



Dean and Klara with Klara’s sister Haidi Kraemer (second left) and Uncle Leo Santl (right) on the terrace at Mariahalden
Filename “Dean's photos 1996-5.jpg”



Dean and Alfred at Mariahalden in 1999
Filename "Dean's photos 1999-1.jpg"



Alfred, Donna Carpenter, Dean, and Klara's cousin Heini Feichtinger,
during a September 1999 hike along the Walensee (Lake Walen) near Weesen
Filename "Dean's photos 1999-4.jpg"



Dean and Donna during the September 1999 “wanderweg”
Filename “Dean's photos 1999-6.jpg”



... and back in Weesen with Heini's wife Illa
Filename “Dean's photos 1999-5.jpg”

I also worked with Dean's American tax lawyer with Goodwin Proctor in Boston concerning estate planning since Swiss laws would have some applicability due to his residency in Switzerland as well as drafting and filing a special testament for the Weesen property to exclude it from inheritance tax if it were left to one of Dean's children. There were many other matters where I would advise Dean and I have a meter long row of files concerning matters involving Dean in Switzerland but I never sent him a bill. I take some pride in the fact that Dean is the most important “Pro Bono” client I have!



Dean's daughter, Stacy LeBaron ... future resident of Mariahalden in Weesen
Filename "blond gal.jpg"

Dean was really quite happy to receive his permit "B" as an official resident of Switzerland and the event was celebrated at the Marriott Hotel in Zürich on November 22, 2001 as seen by the pictures. Klara's sisters Haidi and Gitta were present with us as well as an American CPA and his wife who was my tax accountant and the lawyer and his wife representing Dean as well as the Swiss accountant and his wife and some other friends. Now, having been officially a resident of Switzerland for more than 12 years, Dean is eligible to apply for Swiss citizenship retaining his American as well.



Celebrating Dean's 2001 receipt of his "B" Residency Permit
Filename "Negative0-15(1).jpg"



Celebration at the Marriott Hotel in Zurich, November 22, 2001
Filename "Negative0-16(1).jpg"



Zurich, November 22, 2001
Filename "Negative0-17(1).jpg"



Klara's sister Haidi (left) in Zurich, November 22, 2001
Filename "Negative0-18(1).jpg"



With Jane Donovan, Dean's long-time friend from Boston, following our
celebration dinner at the Marriott Hotel in Zurich, November 22, 2001
Filename "Negative0-19(1).jpg"



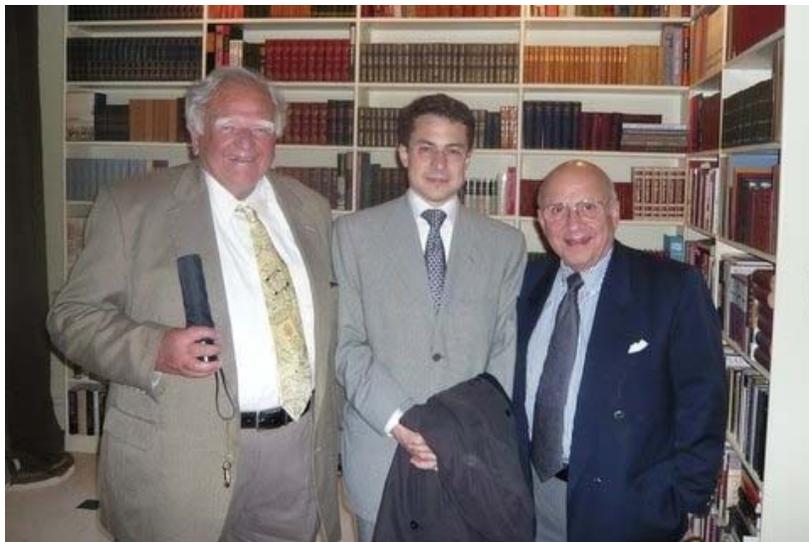
A couple of years later in Munich with Joachim Gabloffsky (left), Leo Santl (center), and Heini Feichtinger (right), April 27, 2003
Filename "Dean's photos 1993-4.jpg"



Enjoying Spring 2003 in Alfred's garden in Thalwil
Filename "Dean's photos 1993-5.jpg"



... swimming at Waypoint in 2006
Filename "P1020259.JPG"



... and with Mark Farha in June 2007, celebrating his PhD at Harvard
Filename "P1000041.JPG"

Switzerland has really been a second home for Dean for nearly 30 years. There were a number of visits by his mother Irene here as well as Dean's sister Elaine and her late husband Paul as seen by the photos taken in our home and in the garden. The one I like the most is of Elaine and me dancing while a friend is playing the piano.

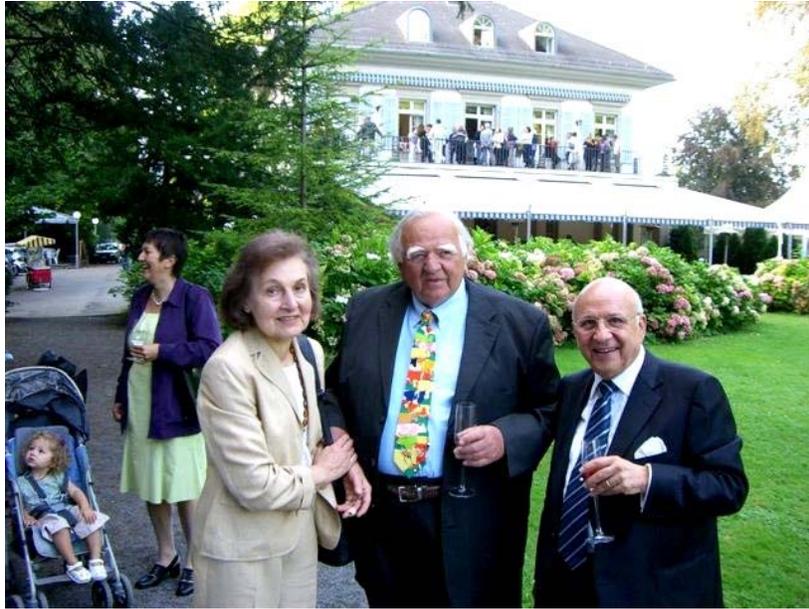


Paul Gilpin, Faviana Olivier, Dean, and Elaine LeBaron Gilpin, 1989
Filename "Dean's photos 1992.jpg"



Elaine and Alfred, 1992
Filename "Dean's photos 1992-1.jpg"

The most important event of our friendship while in Switzerland was the celebration of our 50th Wedding Anniversary in Zürich on August 22nd, 2009 as seen in the pictures. Dean surprised us by coming here in a private plane for the event and a video was made by Klara's cousin, Heinrich (Heini) Feichtinger. Dean begins the video by speaking about our time together in Germany and how he introduced me to Klara in 1957. This was all on a one hour video prepared by Heini which was shown at the anniversary party to more than 100 guests. The video, in addition to Dean talking about our experiences in Germany also had Heini giving the history of Klara's family and my own going back hundreds of years. It then showed part of a movie of our wedding ceremony in 1959, pictures and voices of my father and Klara's parents and bringing our lives together up to now with our children and grandchildren. In addition to contributing to the video, Dean made sure he was back in Switzerland to attend the Golden Wedding Anniversary of a couple he helped to unite.



In Zurich for Klara and Alfred's Golden Wedding Anniversary
Filename "CIMG2003[1].jpg"



Celebration of Klara and Alfred's Golden Wedding Anniversary with family and friends
Filename "Farha-Golden Aniv.-115.jpg"



Alfred and Dean ... enjoying the presentation in 2009
Filename "Farha-Golden Aniv.-179.jpg"



And enjoying Christmas in Switzerland the next year, 2010,
with Dean's son Blake (left) and his family ... wife Una-May (back right)
and daughters Hannah, Glennie, and Leah (between Dean and Klara)
Filename "L1000112.JPG"



Christmas in Thalwil, 2010
Filename "L1000069.JPG"



The "children's table", Christmas 2010 in Thalwil
Filename "L1000068.JPG"



Blake's wife Una-May LeBaron (left), Myles and Lucy Farha, Blake's daughter Hannah (middle left), and Shahnaz Farha and children, Christmas 2010
Filename "L1000059.JPG"



With Alfred's cousin Said Farha in Thalwil for Christmas 2010
Filename "L1000057.JPG"

Today, considering the strain of trans-Atlantic travel as one gets older and that Dean is now spending the summer in New Hampshire and the winter in Florida, Dean will not likely be as much in Switzerland as in the past years. Still, the more than 40 years we have lived here now as Swiss citizens and the many good times we have shared with Dean during that time have added to our friendship a European way of life which started in Germany more than 56 years ago. Now, whenever and wherever we can be together will be even more appreciated than in the past.



... through distance and time, still buddies
Filename "L1020133.jpg"

Alfred S. Farha
June 12, 2013

Acknowledgement:

My sincere thanks and appreciation go to Marilyn Pitchford, the personal and financial assistant of Dean for this booklet which would not have been possible were it not for her idea and efforts. After Marilyn read the stories I wrote about my life with Dean and saw the pictures taken over the many years, she put them all together with the text of my stories, together with other photos used by Donna Carpenter for Dean's biography. Below is a photo of Marilyn (second left) and Dean with Klara and me during a visit they made to Switzerland in March 2010.

